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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/577,394	04/27/2006	Philip Marc Johnson	U02-0086296	1284
54494 7590 10/07/2008 MOORE AND VAN ALLEN PLLC FOR SEMC P.O. BOX 13706 430 DAVIS DRIVE, SUITE 500 RESEARCH TRIANGLE PARK, NC 27709				
EXAMINER				
VO, HUYEN X				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/577,394

Applicant(s)

JOHNSON ET AL.

Examiner

HUYEN X. VO

Art Unit

2626

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 June 2008.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1-12 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☒ The drawing(s) filed on 27 April 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO/CD-100)
4) ☐ Interview Summary (PTO-413)
5) ☐ Notice of Informal Patent Application
6) ☐ Other: _____
Paper No(s)/Mail Date _____

DETAILED ACTION

Response to Amendment

1. Applicant's arguments filed 2/6/2008 have been fully considered but they are not persuasive. Willenegger clearly discloses the steps of (a) decoding the inband bit portion (*side information*) of a received frame to obtain confidence levels associated with each of the M codec modes (*col. 1, line 62-67 together with col. 5, lines 25-67*); (a1) ordering the confidence levels from highest to lowest representing a most likely codec mode to a least likely codec mode, respectively (*col. 5, lines 1-67, prioritizing permissible formats from most likely format to least likely format*); (b) choosing the most likely codec mode based on the highest confidence level to channel decode the speech portion (*col. 5, lines 7-67; particularly lines 6-13*); (c) decoding the speech portion of the received frame using the chosen speech codec mode (*col. 5, lines 25-67 together with col. 7, lines 1-9; decoding the speech using the chosen speech codec mode/scheme*); (d) performing a frame determination check to determine the quality of the decoded speech frame (*col. 7, lines 1-9, inherently suggesting of some sorts of quality check*); and (e) if the decoded speech frame is determined to be of poor quality, then choosing the next most likely codec mode 736 corresponding to the next highest inband bit decoding confidence level and repeating steps (c) through (e) (*col. 6, lines 43-52 and col. 7, lines 1-9; if poor quality, the next most likely codec mode/scheme/format is chosen to repeat steps (c)-(e)*). The only limitations that Willenegger lacks are the steps of decoding the inband bit portion and ordering the confidence levels must take place before a decoding error is detected. However, Raith

et al. was relied upon for the teaching of these two limitations: decoding the inband bit portion before a decoding error has been detected (page 9, lines 6-20) and ordering the confidence levels before a decoding error is detected (page 12, lines 1-19, *variable code is selected based on respective likelihood metrics; obviously, the most likely variable code is used first to save processing time*).

2. It is clear that in the present invention, the operation of steps (a) through (e) is done before a decoding error is encountered. Whereas, Willenegger discloses that the operation of steps (a) through (e) is carried out when a decoding error is encountered. However, Raith et al. is relied upon for the teaching of decoding the inband bit portion before a decoding error has been detected (page 9, lines 6-20) and ordering the confidence levels before a decoding error is detected (page 12, lines 1-19). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to readily recognize that by combining the teachings of Willenegger and Raith et al., one would obtain the claimed invention.

3. In response to applicant's argument regarding Willenegger and Raith teach away from the limitations in the claims, it would have been obvious to one of ordinary skill in the art at the time of invention to realize that by combining only the teaching of the operations of figures 2-3, and not the error triggering mechanism, with the teaching of Raith et al. (decoding the inband bit portion before a decoding error is detected), one would obtain the claimed invention. The advantage of prior art combination is to provide

the ability to continuously monitor the input signal to determine proper codec mode/format/scheme for each input signal frame, and hence, improving encoding and decoding efficiency of the system.

4. In response to applicant's argument regarding Raith et al. fail to teach "decoding the inband bit portion of a received frame to obtain confidence levels that the inband bit portion has been received correctly", Raith et al. is only relied upon for the teaching of decoding the inband bit portion and ordering the confidence levels before a decoding error is detected (*col. 9, lines 6-20 and col. 12, lines 1-19, respectively*).

5. Also, "codec mode" is considered the same as "codec format" or "codec scheme".

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Willenegger (US 7076005) in view of Raith et al. (WO 00/35137, from IDS).

8. Regarding claims 1 and 7, Willenegger discloses a method of and receiver for channel decoding speech frames in a receiver capable of multiple (M) codes modes, said channel encoded speech frames comprised of an inband bit portion and a speech portion, said method comprising:

(a) decoding the inband bit portion of a received frame to obtain confidence levels associated with each of the M codec modes (*col. 1, line 62-67 together with col. 5, lines 25-67*);

(a1) ordering the confidence levels from highest to lowest representing a most likely codec mode to a least likely codec mode, respectively (*col. 5, lines 1-67, prioritizing permissible formats*);

(b) choosing the most likely codec mode based on the highest confidence level to channel decode the speech portion (*col. 5, lines 25-67*);

(c) decoding the speech portion of the received frame using the chosen speech codec mode (*col. 5, lines 25-67*);

(d) performing a frame determination check to determine the quality of the decoded speech frame (*col. 7, lines 1-9, inherently suggesting of some sorts of quality check*); and

(e) if the decoded speech frame is determined to be of poor quality, then choosing the next most likely codec mode 736 corresponding to the next highest inband bit decoding confidence level and repeating steps (c) through (e) (*col. 6, lines 43-52 and col. 7, lines 1-9*).

Willenegger fails to specifically disclose the steps of ordering the confidence levels before a decoding error is detected and decoding the inband bit portion of a received frame to obtain confidence levels associated with each of the M codec modes before a decoding error has been detected. However, Raith et al. teach the steps of ordering the confidence levels before a decoding error is detected (*page 12, lines 1-19, variable code is selected based on respective likelihood metrics; obviously, the most likely variable code is used first to potentially save processing time*) and decoding the inband bit portion of a received frame to obtain confidence levels associated with each of the M codec modes before a decoding error has been detected (*page 9, lines 6-20*).

Since Willenegger and Raith et al. are analogous art because they are from the same field of endeavors, it would have been obvious to one of ordinary skill in the art at the time of invention to modify Willenegger by incorporating the teaching of Raith et al. in order to provide the ability to continuously monitor the input signal to determine proper codec mode/format/scheme for each input signal frame, and hence, improving encoding and decoding efficiency of the system.

9. Regarding claims 6 and 12, Willenegger discloses a method of and receiver for channel decoding speech frames in a receiver capable of multiple (M) codec modes, said channel encoded speech frames comprised of an inband bit portion and a speech portion, said method comprising:

calculating a plurality of inband decode metrics, one for each speech codec mode (*col. 5, lines 25-67*);

ordering the confidence levels from highest to lowest representing a most likely codec mode to a least likely codec mode, respectively (*col. 5, lines 1-67, prioritizing permissible formats*);

partially decoding speech data for each speech codec mode (*col. 6, lines 53-58*);
determining the most likely speech codec mode based upon the partially decoded speech data and the calculated inband decode metric data (*col. 7, lines 1-9*);
and resuming decoding of the speech data using the most likely speech codec mode (*col. 7, lines 1-9*).

Willenegger fails to specifically disclose the steps of ordering the confidence levels before a decoding error is detected, and calculating a plurality of inband decode metrics, one for each speech codec mode before a decoding error has been detected. However, Raith et al. teach the steps of ordering the confidence levels before a decoding error is detected (*page 12, lines 1-19, variable code is selected based on respective likelihood metrics; obviously, the most likely variable code is used first to potentially save processing time*), and calculating a plurality of inband decode metrics, one for each speech codec mode before a decoding error has been detected (*page 9, lines 6-20*).

Since Willenegger and Raith et al. are analogous art because they are from the same field of endeavors, it would have been obvious to one of ordinary skill in the art at the time of invention to modify Willenegger by incorporating the teaching of Raith et al. in order to improve decoding efficiency of the system.

10. Regarding claims 2, 4-5, 8, and 10-11, Willenegger further discloses that the steps (c) through (e) are repeated for a maximum number of iterations (N), where $N \leq M$ (*col. 7, lines 1-19*), wherein the maximum number of iterations N is determined prior to choosing the most likely codec mode to decode the speech portion based on the highest confidence level (*col. 7, lines 1-19*), and wherein the maximum number of iterations (N) is set to the number of codec modes that exceed a threshold confidence level (*col. 7, lines 1-9*).

11. Regarding claims 3 and 9, Willenegger further discloses that the steps (c) through (e) are repeated so long as the confidence level for the inband bit decoding with respect to the current codec mode is above a threshold confidence level (*col. 7, lines 27-31*).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HUYEN X. VO whose telephone number is (571)272-7631. The examiner can normally be reached on M-F, 9-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Edouard can be reached on 571-272-7603. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Huyen X Vo/
Primary Examiner, Art Unit 2626

9/30/2008

Application Number**Application/Control No.**

10/577,394

Examiner

HUYEN X. VO

**Applicant(s)/Patent under
Reexamination**

JOHNSON ET AL.

Art Unit

2626